

2818

PTO/SB/21 (08-00)

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Total Number of Pages in This Submission

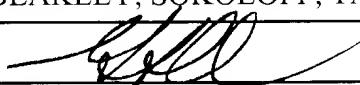
6

Application No. 09/960,125  
 Filing Date September 21, 2001  
 First Named Inventor Jian Li  
 Group Art Unit 2818  
 Examiner Name S. Rao  
 Attorney Docket Number 42390P11557X

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>  <input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<div style="border: 1px solid black; padding: 5px; height: 40px;">           - Return Postcard         </div>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

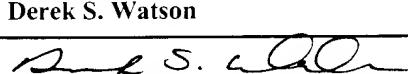
### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	December 13, 2002

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

December 13, 2002

Typed or printed name	Derek S. Watson		
Signature		Date	December 13, 2002

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O I P E JC/18  
DEC 19 2002  
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# FEET TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$)

## Complete if Known

Application Number	09/960,125
Filing Date	September 21, 2001
First Named Inventor	Jian Li
Examiner Name	S. Rao
Group/Art Unit	2818
Attorney Docket No.	42390P11557X

## METHOD OF PAYMENT (check one)

Check  Credit card  Money Order  Other  None

Deposit Account  Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEET CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1001	740	2001	370	Utility filing fee
1002	330	2002	165	Design filing fee
1003	510	2003	255	Plant filing fee
1004	740	2004	370	Reissue filing fee
1005	160	2005	80	Provisional filing fee
SUBTOTAL (1)		(\$)		

### 2. EXTRA CLAIM FEES

Large Entity		Small Entity		Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple Dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)		

\*\*or number previously paid, if greater. For Reissues, see below

## 3. ADDITIONAL FEES

Large Entity	Fee Code	Fee (\$)	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
	1051	130		2051	65	Surcharge - late filing fee or oath	
	1052	50		2052	25	Surcharge - late provisional filing fee or cover sheet	
	2053	130		2053	130	Non-English specification	
	1812	2,520		1812	2,520	For filing a request for <i>ex parte</i> reexamination	
	1804	920*		1804	920*	Requesting publication of SIR prior to Examiner action	
	1805	1,840*		1805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110		2251	55	Extension for reply within first month	
	1252	400		2252	200	Extension for reply within second month	
	1253	920		2253	460	Extension for reply within third month	
	1254	1,440		2254	720	Extension for reply within fourth month	
	1255	1,960		2255	980	Extension for reply within fifth month	
	1404	320		2401	160	Notice of Appeal	
	1402	310		2402	160	Filing a brief in support of an appeal	
	1403	280		2403	140	Request for oral hearing	
	1451*	1,510		2451	1,510	Petition to institute a public use proceeding	
	1452	110		2452	55	Petition to revive - unavoidable	
	1453	1,280		2453	640	Petition to revive - unintentional	
	1501	1,280		2501	640	Utility issue fee (or reissue)	
	1502	460		2502	230	Design issue fee	
	1503	620		2503	310	Plant issue fee	
	1460	130		2460	130	Petitions to the Commissioner	
	1807	50		1807	50	Processing fee under 37 CFR 1.17(q)	
	1806	180		1806	180	Submission of Information Disclosure Stmt	
	8021	40		8021	40	Recording each patent assignment per property (times number of properties)	
	1809	740		1809	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
	1810	740		1810	370	For each additional invention to be examined (37 CFR § 1.129(b))	
	1801	740		1801	370	Request for Continued Examination (RCE)	
	1802	900		1802	900	Request for expedited examination of a design application	
	Other fee (specify)						
SUBTOTAL (2)		(\$)		(\$)		SUBTOTAL (3)	(\$)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gregory D. Caldwell	Registration No. (Attorney/Agent)	39,926	Telephone	(503) 684-6200
Signature				Date	12/13/02

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

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PATENT APPLICATION

042390.P11537X

1/3/03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) December 13, 2002  
 )  
 Li et al. )  
 )  
 Serial No.: 09/960,125 ) Group Art Unit: 2814  
 )  
 Filed: September 21, 2001 ) Examiner: S. Rao

For: **STACKED FERROELECTRIC MEMORY DEVICE AND  
METHOD OF MAKING SAME**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE IN  
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HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK  
OFFICE,

WASHINGTON, D.C. 20231, ON: 12-13-02

Date of Deposit

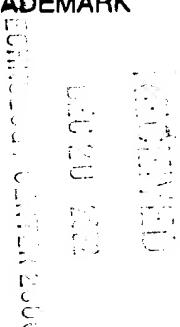
INTEL CORPORATION  
Name of Assignee

Mark S. Adler

SIGNATURE

12-13-02

DATE



## RESPONSE TO RESTRICTION REQUIREMENT

HONORABLE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK  
OFFICE,  
Washington, D.C. 20231

SIR:

In response to the Office action mailed November 20, 2002, Applicants  
elect Group I, claims 1-18, without traverse. Accordingly, Applicants withdraw  
claims 19-29.

## PATENT APPLICATION

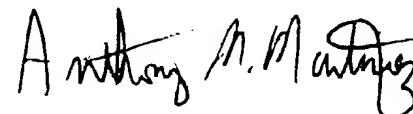
042390.P11557X

Applicants believe that no fee is due for this election. However, should it be determined that an additional fee is due under 37 CFR §§1.16 or 1.17, or any excess fee has been received, please charge that fee or credit the amount of overcharge to deposit account #02-2666.

If the Examiner believes that there are any informalities which can be corrected by an Examiner's amendment, a telephone call to the undersigned at (480) 552-0624 is respectfully solicited.

Respectfully submitted,

Jian Li et al.



Anthony M. Martinez  
Patent Attorney  
Reg. No. 44,223

Dated: December 13, 2002

c/o Blakely, Sokoloff, Taylor & Zafman, LLP  
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